

OP 2812

PATENT  
Customer No. 22,852  
Attorney Docket No. 04329.2566



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
SHINICHI ITO et al. )  
Application No.: 09/842,403 )  
Filed: April 26, 2001 )  
For: FILM FORMATION METHOD, )  
SEMICONDUCTOR ELEMENT )  
AND METHOD THEREOF, AND )  
METHOD OF MANUFACTURING )  
A DISK-SHAPED STORAGE )  
MEDIUM )

Group Art Unit: 2812  
Examiner: Unknown

#57 IDS  
8/22/02  
RECEIVED  
JUL 17 2002  
TECHNOLOGY CENTER 2800

RECEIVED  
JUL 17 2002  
TO 2800 MAIL ROOM

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 31, 2001

By: Richard V. Burgujian Reg #24,014  
for Reg. No. 31,744

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, D. C. 20005  
202-406-4000